

Gr 1638

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#11

Applicant: Van Rooijen *et al.*

Serial No.: 10/032,201

Filed: December 19, 2001

For: **METHODS FOR THE PRODUCTION OF  
MULTIMERIC PROTEIN COMPLEXES,  
AND RELATED COMPOSITIONS**

Art Unit: 1638

Examiner: Not yet assigned



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**TRANSMITTAL LETTER**

Commissioner for Patents  
U.S. Patent and Trademark Office  
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Transmitted herewith are a Supplemental Information Disclosure  
Statement, Form PTO-1449 (1 page), a Statement pursuant to 37 C.F.R.  
§1.97(e), and a copy of the cited reference for filing in connection with the  
above-identified application. If no proper payment is enclosed herewith, the  
Commissioner is authorized to charge Deposit Account No. 50-1213, for the  
appropriate fee as stated below. A duplicate copy of this sheet is enclosed.

☒ The Commissioner is hereby authorized to charge any fee, including that submitted herewith if the attached  
check(s) is in the wrong amount or otherwise improper or missing, that may be due in connection with this  
and the attached papers, or with this application during its entire pendency to or to credit any overpayment to  
Deposit Account No. 50-1213.

Respectfully submitted,  
HELLER, EHRMAN, WHITE & McAULIFFE LLP

By:   
Robert T. Ramos  
Registration No. 37,915

Attorney Docket 38814-351B  
Address all correspondence to:  
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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN  
ACCORDANCE WITH 37 C.F.R. §§ 1.97-1.98**

Commissioner for Patents  
U.S. Patent and Trademark Office  
P.O. Box 2327, Arlington, VA 22202

Dear Sir:

This Supplemental Information Disclosure Statement is filed pursuant to 37 C.F.R. §1.97(c) and includes a certification in accordance with 37 C.F.R. §1.97(e). The certification applies to all references listed on Form PTO-1449 (1 page), provided herewith. If no proper payment is enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal, or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-1213.

In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the Patent Office of all references known by Applicant or Applicant's representative that may be material to the examination of the subject application, Applicant's representative hereby provides this Supplemental Information Disclosure Statement that is prepared in accordance with 37 C.F.R. §§1.97-1.98.

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**USSN 10/032,201**  
**Van Rooijen *et al.***  
**Supplemental IDS**

The enclosed references were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the attached Supplemental Information Disclosure Statement. Form PTO-1449 (1 page), a copy of the cited document, and a copy of the communication, the Written Opinion for the international application PCT/US 01/50240, are provided herewith. The document listed on the Form PTO-1449 and supplied herewith is in the English language. Hence, in accordance with the requirements of 37 C.F.R. §1.98, as amended effective March 16, 1992, no further explanation of the listed items is necessary.

Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the references, singly or in any combination thereof, is effective as prior art against the subject application. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56(b) exists.

Applicant respectfully requests that the Examiner review the foregoing references and information and that they be made of record in the file history of the above-captioned application.

\* \* \*

Respectfully submitted,  
HELLER, EHRMAN, WHITE & McAULIFFE LLP

By:



Robert T. Ramos

Registration No. 37,915

Attorney Docket 38814-351B  
**Address all correspondence to:**  
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**STATEMENT FOR SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
IN ACCORDANCE WITH 37 C.F.R. § 1.97(e)**

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Dear Sir:

1) This Statement is being made for the Supplemental Information  
Disclosure Statement accompanying this Statement.

2) I, Robert Ramos, certify that each item of information contained in  
the accompanying Supplemental Information Disclosure Statement was cited in  
a communication dated November 11, 2002, from the International Searching  
Authority in connection with PCT International Patent Application No.  
PCT/US01/50240, which claims priority to U.S. Application Serial No.  
10/006,038. which is a priority application to U.S. Application Serial No.  
10/032,201. Thus, each item of information was cited in a communication  
from a foreign patent office in a counterpart foreign application not more than  
three months prior to the filing of the attached Supplemental Information

**USSN 10/032,201**  
**Van Rooijen *et al.***  
**Statement for Supplemental IDS**

Disclosure Statement.

3) The person making this Statement is an attorney of record in the above-captioned application and signs below on the basis of information in the attorney's file.

\* \* \*

Respectfully submitted,  
HELLER, EHRMAN, WHITE & McAULIFFE LLP

By:

  
Robert T. Ramos  
Registration No. 37,915

Attorney Docket 38814-351B  
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FORM PTO-1449 (Modified)

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SERIAL NO.  
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LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

APPLICANT  
van Rooijen *et al.*

FILING DATE  
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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	Translation Yes No
	A	9 8 5 3 6 9 8	12/02/98	PCT			

\* An English Language Abstract is provided.

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	B	Written Opinion dated November 11, 2002, for the International Patent Application No. PCT/US01/50240.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.